

Date Issued	March 06, 2026	Status	Closed
Provider Name	EMBROCK, RACHEAL (BRAATEN)		
Provider ID	018042992		
Provider Address	406 Dakota St, Alcester, SD 57001, USA		
Provider Contact	RACHEAL (BRAATEN) EMBROCK		

The items listed below are those that the provider was not in compliance with at the time of the inspection.

Compliance Plan Action #1

Administrative Rule:

67:42:17:25

All equipment, utensils, kitchenware, dining tables, and food contact surfaces of equipment must be washed, rinsed, and sanitized after each meal. Toys capable of being placed in a child's mouth must be cleaned and sanitized daily, using a solution approved by the department.

All providers, program employees, and children shall wash their hands with soap, before preparing food or beverages, eating, handling food, or feeding a child, and after changing a diaper, using the toilet, helping a child use a toilet, or coming into contact with bodily fluid.

Summary of Non-Compliance Finding:

At the time of the inspection, the provider was not using an approved sanitization method for toys capable of being put in children's mouths.

Corrections to be Made:

Toys capable of being put in children's mouths to be sanitized using an approved method.

Corrections Made:

The provider was educated in the appropriate method of sanitizing mouthable toys. She has agreed to begin using the approved method beginning immediately and moving forward.

Anticipated Completion Date:
April 05, 2026

Date Completed:
March 05, 2026

Compliance Plan Action #2

Administrative Rule:

67:42:17:40

A pet, while permitted in the presence of children receiving care, must be current with its vaccinations, and have clean and sanitary living areas, at all times.

A pet with a history of aggressive behavior, which poses a risk to the safety of children, must be confined and kept away

from children.

Summary of Non-Compliance Finding:

At the time of the inspection, a copy of the dog's current vaccinations was not available.

Corrections to be Made:

A copy of current vaccination record for dog to be provided to the Office of Licensing and Accreditation.

Corrections Made:

A copy of the current dog vaccinations was received on 03-16-2026.

Anticipated Completion Date:

April 05, 2026

Date Completed:

March 16, 2026

Compliance Plan Action #3

Administrative Rule:

67:42:17:42

A provider shall maintain a record for each child that includes:

- (1) The child's name and date of birth;
- (2) The parent or guardian's name and telephone number;
- (3) An emergency contact name and telephone number;
- (4) Parental permission for emergency medical treatment;
- (5) The names of individuals authorized to pick up the child;
- (6) Health information, including any allergies or special needs;
- (7) A current immunization record or, for a school-age program, the name of the child's school;
- (8) Parental permission for medication;
- (9) The child's attendance records;
- (10) The date of the child's enrollment; and
- (11) The date on which the child's enrollment ends.

The provider shall annually review and update each record required under this section, and make the child's record available to the department, upon request.

Summary of Non-Compliance Finding:

At the time of the inspection, two children did not have signed permission forms for emergency medical care.

Corrections to be Made:

Updated file information to be provided to the Office of Licensing and Accreditation.

Corrections Made:

Updated file information provided on 04-03-2026.

Anticipated Completion Date:

Date Completed:

Compliance Plan Action #4**Administrative Rule:**

67:42:17:24

Before a child may be admitted to a registered or licensed day care provider, the provider must require the child's parent or guardian to submit a statement, signed by a licensed physician, physician's assistant, certified nurse practitioner, or community health nurse, or an immunization record from the South Dakota Immunization Information System, showing that the child meets the minimum immunization requirements according to 45 C.F.R. § 98.41(a)(1)(i)(A), in effect on September 30, 2016.

The provider shall ensure that immunizations of all children are current.

For children who begin the series late or are more than one month behind in immunizations, the documentation must show progress toward achieving immunization requirements, as determined by a licensed physician, or other licensed practitioner. A grace period may be approved by the department for a child experiencing homelessness or a child in foster care.

A child is exempt from meeting the minimum age-specific immunization levels if:

- (1) The child's parent or guardian has certification from a licensed physician, or other licensed practitioner, stating that the physical condition of the child is such that an immunization would endanger the child's life or health; or
- (2) The child's parent or guardian has signed a written statement that the child is an adherent to a religious doctrine whose teachings are opposed to such immunizations.

If a child becomes ill while at a day care, the provider must separate the child from other children and notify the child's parents. If any child in the program contracts a communicable disease, the provider must notify the Department of Health. The program provider shall follow the Department of Health's recommendations for addressing a situation involving a communicable disease.

To prevent the spread of an infestation or infectious disease, a program shall provide an individual storage unit or container for each child's personal articles.

Summary of Non-Compliance Finding:

At the time of the inspection, four children did not have current immunization records on file.

Corrections to be Made:

Current immunization records to be available at the family daycare home and copies provided to the Office of Licensing and Accreditation.

Corrections Made:

Copies of current immunization records provided on 04-03-2026.

Anticipated Completion Date:

Date Completed:

Compliance Plan Action #5

Administrative Rule:

67:42:17:18

All providers must obtain annual training in the topic areas identified in 45 C.F.R. § 98.41, in effect on September 30, 2016, or as identified by the department. Training must be documented and relevant to the provider's position as determined by the department. Training may include on-site or online classes. Pediatric cardiopulmonary resuscitation renewal may not be included in annual training.

Each director and provider of center and school-age programs counted in staff-child ratios shall complete ten hours of annual training.

Each provider of family day care counted in staff-child ratios shall complete six hours of annual training.

Orientation training hours qualify as annual training hours for each provider in the year the training was completed.

Every five years, all providers shall complete additional, advanced training in each of the training areas listed in § 67:42:17:17.

Summary of Non-Compliance Finding:

At the time of the inspection, the provider did not have documentation of six hours of required annual training.

Corrections to be Made:

Documentation of training hours to be provided to the Office of Licensing and Accreditation.

Corrections Made:

Documentation of completed training hours received on 04-03-2026.

Anticipated Completion Date:

April 05, 2026

Date Completed:

April 03, 2026

Compliance Plan Action #6

Administrative Rule:

67:42:17:43

A provider shall have:

- (1) A written emergency preparedness and response plan for emergencies resulting from a natural disaster or a man-caused event;
- (2) A written plan for evacuation, relocation, shelter-in-place, or a lock-down, that includes accommodations for infants, toddlers, and children with disabilities or medical conditions;
- (3) A written procedure for communication and reunification with parents; and
- (4) A written procedure for the continuity of operations.

A provider shall practice the evacuation, shelter-in-place, and lock down procedures, outlined in the emergency preparedness and response plan, at least twice each calendar year. The provider shall document the dates on which the procedures are practiced. A provider shall communicate the emergency preparedness and response plan to each individual at the time the individual begins employment.

Except for family day care, all child care providers shall have liability insurance. Proof of current liability insurance shall be made available to the department, upon request.

Summary of Non-Compliance Finding:

At the time of the inspection, the dates of required emergency drills were not available.

Corrections to be Made:

Dates of completed drills to be provided to the Office of Licensing and Accreditation.

Corrections Made:

Dates of drills received on 04-03-2026.

Anticipated Completion Date:
April 05, 2026

Date Completed:
April 03, 2026

Your signature below certifies you have read and understand the non-compliance findings and agree to make corrections to be compliant with the identified administrative rules.

Racheal Embrock

Printed Name of Provider/Agency Contact



Signature of Provider/Agency Contact

March 06, 2026

Date

The Department of Social Services, Office of Licensing and Accreditation has reviewed and accepted the above plan.

Rita Trager

Printed Name of DSS Staff



3/5/2026, 2:32:04 PM

Signature of DSS Staff:

March 05, 2026

Date